

Spectral asymmetry for manifolds of special holonomy

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1 Introduction

Let M^7 be a compact G_2 manifold. Let ϕ be the defining covariant constant 3-form. Then the 2-forms on M decompose into irreducible G_2 representations

$$\Lambda^2 T^* M = \Lambda_7 \oplus \Lambda_{14},$$

where Λ_m has an m dimensional fiber. Let P_m denote the projection onto Λ_m . The Laplace Beltrami operator, Δ , commutes with P_m . Denote by Δ_m the corresponding restriction of Δ to the image of P_m . Let $E_{m,\lambda}$ denote the λ eigenspace of Δ_m . Set

$$N_m(x) = \sum_{0 < \lambda \leq x} \dim(E_{m,\lambda}).$$

As the fiber of Λ_7 is half the dimension of Λ_{14} , one expects $N_{14}(x)$ to grow at roughly twice the rate of $N_7(x)$. Define

$$\zeta_m(s) = \sum_{\lambda \in \text{spec}^+(\Delta_m)} \dim(E_{m,\lambda}) \lambda^{-s},$$

and set

$$\zeta_\delta(s) = 2\zeta_7(s) - \zeta_{14}(s).$$

Here $\text{spec}^+(\Delta_m)$ denotes the nonzero spectrum of Δ_m . As is well known (see, for example, [Sh, Chapter 13]), $\zeta_m(s)$ has a meromorphic extension to the entire complex plane with at most simple poles and is analytic for $\text{Re}(s) > \frac{7}{2}$. In this elementary note, we will prove the following result.

Theorem 1.1. *The function $\zeta_\delta(s)$ admits an analytic extension to $\text{Re}(s) > \frac{3}{2}$. It has a simple pole at $s = \frac{3}{2}$ with residue*

$$\text{res}(\zeta_\delta)(3/2) = \frac{4}{9\pi^2} \int_M p_1(M) \wedge \phi,$$

where $p_1(M)$ denotes the first Pontrjagin form of TM .

The integral $\int_M p_1(M) \wedge \phi$ is nonpositive and vanishes if and only if M is flat. (See [Joyce, Proposition 10.2.6]). Hence we have the following corollary.

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Corollary 1.2.

$$\text{res}(\zeta_\delta)(3/2) \leq 0,$$

with equality if and only if M is flat.

The proof of these results extends immediately to compact $Spin(7)$ manifolds, X , with defining 4-form ψ . For $Spin(7)$ manifolds, the 2-forms decompose into irreducible $Spin(7)$ representations

$$\Lambda^2 T^* X = \tilde{\Lambda}_7 \oplus \tilde{\Lambda}_{21}.$$

Let $\tilde{\zeta}_m(s)$, $m = 7, 21$ be the associated zeta functions of the Laplace Beltrami operator restricted to these summands. Let

$$\tilde{\zeta}_\delta(s) = 3\tilde{\zeta}_7(s) - \tilde{\zeta}_{21}(s).$$

Then

Theorem 1.3. *The function $\tilde{\zeta}_\delta(s)$ admits an analytic extension to $\text{Re}(s) > 2$. It has a simple pole at $s = 2$ with residue*

$$\text{res}(\tilde{\zeta}_\delta)(2) = \frac{1}{6\pi^2} \int_X p_1(X) \wedge \psi.$$

For a $Spin(7)$ manifold, $\int_M p_1(X) \wedge \psi \leq 0$ and vanishes if and only if X is flat. (See [Joyce, Proposition 10.6.7]). This gives us the following corollary.

Corollary 1.4.

$$\text{res}(\tilde{\zeta}_\delta)(2) \leq 0,$$

with equality if and only if X is flat.

The theorems can also be extended to a twisted situation. Let E be a vector bundle over a compact manifold of holonomy G_2 or $Spin(7)$. Suppose that E is equipped with an instanton connection, A . Recall (see [RC],[DT]) that a connection on a bundle over a G_2 or $Spin(7)$ manifold is called an *instanton* if its curvature, F_A , satisfies $P_7 F_A = 0$. The connection induces an exterior derivative, d_A , on E -valued forms. Set $\Delta_A = d_A d_A^* + d_A^* d_A$. The assumption that A is an instanton implies

$$0 = [\Delta_A, P_m].$$

Hence we may again decompose

$$\Delta_A \text{ restricted to 2 forms} = \Delta_{A,7} + \Delta_{A,7j},$$

where $j = 2$ for G_2 manifolds and $j = 3$ for $Spin_7$ manifolds. Let $\zeta_{A,m}(s)$, $\zeta_{A,\delta}(s)$, $\tilde{\zeta}_{A,m}(s)$, and $\tilde{\zeta}_{A,\delta}(s)$ be the zeta functions for the twisted Laplacians. Then we have

Theorem 1.5. *The function $\zeta_{A,\delta}(s)$ admits an analytic extension to $\text{Re}(s) > \frac{3}{2}$. It has a simple pole at $s = \frac{3}{2}$ with residue*

$$\text{res}(\zeta_{A,\delta})(3/2) = \frac{4}{3\pi^2} \int_M \left(\frac{1}{3} p_1(TM) + c_1^2(E) - c_2(E) \right) \wedge \phi.$$

The function $\tilde{\zeta}_{A,\delta}(s)$ admits an analytic extension to $\text{Re}(s) > 2$. It has a simple pole at $s = 2$ with residue

$$\text{res}(\tilde{\zeta}_{A,\delta})(2) = \frac{1}{2\pi^2} \int_X \left(\frac{1}{3} p_1(TM) + c_1^2(E) - c_2(E) \right) \wedge \psi.$$

The fact that these measures of spectral asymmetry depend only on characteristic classes and the cohomology classes of ϕ and ψ is surprising. It is easy to show that the exact forms do not contribute to these residues. Because the space of harmonic forms is finite dimensional, it does not contribute to the residues. Hence there can be no mass cancellation of all but harmonics (as occurs in index theory) explaining the topological nature of the residues.

The proof of these results rapidly reduces to standard heat equation asymptotics, and requires no new techniques. In fact, the techniques are standard for the computation of higher signatures, whose definition we now recall.

Let V be a compact manifold. Let $f : V \rightarrow K(\pi, 1)$ be a continuous map, for some group π , most often taken to be $\pi = \pi_1(V)$. Let $h \in H^p(K(\pi, 1), \mathbb{R})$, and let z be a de Rham representative of f^*h . Let $L(TV)$ denote the Hirzebruch L class of TV . Then

$$\int_V z \wedge L(TV),$$

is called a *higher signature* of V . The homotopy invariance of these higher signatures is the subject of the Novikov conjecture. The genesis of these theorems was the observation that if we replace z by the covariant constant forms ϕ and ψ defining the special holonomies, then the associated analog of the higher signature has the spectral representation given in the preceding theorems. These computations were motivated by a desire to gain new analytic interpretations of the higher signature invariants.

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2 Reduction to Heat equation asymptotics

Given a differential form w , denote by $e(w)$ exterior multiplication on the left by w . Let $*$ denote the Hodge star operator. Our computations begin in the G_2 case with the identification of Λ_7 and Λ_{14} respectively as the $+2$ and -1 eigenspaces of $*e(\phi)$. (See for example [Br2],[Joyce]). Similarly, in the $Spin(7)$ case, $*e(\psi)$ acts as $+3$ on Λ_7 and -1 on Λ_{21} . Thus we can write

$$\zeta_{A,\delta}(s) = \frac{1}{\Gamma(s+1)} \int_0^\infty \text{Tr} *e(\phi) \Delta_A e^{-t\Delta_A} t^s dt,$$

and

$$\tilde{\zeta}_{A,\delta}(s) = \frac{1}{\Gamma(s+1)} \int_0^\infty \text{Tr} *e(\psi) \Delta_A e^{-t\Delta_A} t^s dt.$$

For any $a > 0$, the integral, $\frac{1}{\Gamma(s+1)} \int_a^\infty \text{Tr} *e(w) \Delta_A e^{-t\Delta_A} t^s dt$, is bounded for all s . Hence the poles of the zeta functions are determined by

$$\int_0^1 \text{Tr} *e(w) \Delta_A e^{-t\Delta_A} \frac{t^s}{\Gamma(s+1)} dt = \int_0^1 \text{Tr} *e(w) e^{-t\Delta_A} \frac{t^{s-1}}{\Gamma(s)} dt - \frac{1}{\Gamma(s+1)} \text{Tr} *e(w) \Delta_A e^{-\Delta_A}.$$

The poles are therefore determined by the small t asymptotics of $\text{Tr} *e(w) e^{-t\Delta_A}$. It is well known (and will be recalled in Section 4) that with n denoting the dimension of our manifold,

$$\text{Tr} *e(w) e^{-t\Delta_A} = \sum_{k=0}^N b_k t^{(k-n)/2} + O(t^{(N-n+1)/2}).$$

Hence, for $N + 2\text{Re}(s)$ large

$$\zeta_{A,\delta}(s) = \sum_{k=0}^N \frac{b_k}{\Gamma(s)} \int_0^1 t^{(k-n)/2} t^{s-1} dt + \text{holomorphic.} \quad (2.1)$$

This gives the analytic continuation on a half plane $2\operatorname{Re}(s) + N > n$

$$\zeta_{A,\delta}(s) = \sum_{k=0}^N \frac{b_k}{\Gamma(s+1)(s-(n-k)/2)} + \text{holomorphic.} \quad (2.2)$$

Hence our main theorems reduce to the well understood computations of the b_k . In particular, we need to show $b_k = 0$ for $k < n - \deg \psi$ (Proposition 4.5), and we need to compute $b_{n-\deg \psi}$ (Proposition 4.7). This type of computation is routine in many index theory contexts. A standard reference is [BGV]. For the convenience of the reader unfamiliar with heat equation asymptotics, we perform the requisite computations in the following two sections.

3 Algebraic trace reductions

Let $\{\omega^i\}_{i=1}^n$ be an orthonormal coframe. Recall the representation of the Clifford algebra on the exterior algebra is given by defining Clifford multiplication as

$$c(\omega^i) = e(\omega^i) - e^*(\omega^i),$$

where $e^*(w)$ is the adjoint of $e(w)$. Define also the operation

$$\hat{c}(\omega^i) = e(\omega^i) + e^*(\omega^i).$$

For a multi index I , with no repeated indices, we set

$$c(\omega^I) = c(\omega^{i_1})c(\omega^{i_2}) \cdots c(\omega^{i_n}),$$

and

$$\hat{c}(\omega^I) = \hat{c}(\omega^{i_1})\hat{c}(\omega^{i_2}) \cdots \hat{c}(\omega^{i_n}).$$

Every endomorphism of the exterior algebra can be written in the form

$$\phi = \sum_{I,J} \phi_{IJ} c(\omega^I) \hat{c}(\omega^J).$$

We define the upper Clifford degree of ϕ ,

$$\deg_c^U \phi := \max\{|I| : \phi_{IJ} \neq 0\},$$

and the lower Clifford degree

$$\deg_c^L \phi := \min\{|I| : \phi_{IJ} \neq 0\}.$$

If $\deg_c^L \phi = k = \deg_c^U \phi$, we say ϕ is homogeneous of Clifford degree k . We can expand

$$e(\omega^I) = 2^{-|I|} (c(\omega^{i_1}) + \hat{c}(\omega^{i_1})) \cdots (c(\omega^{i_{|I|}}) + \hat{c}(\omega^{i_{|I|}})),$$

and therefore see that $\deg_c^U e(\omega^I) = |I|$. In particular, we can write

$$e(\omega^I) = 2^{-|I|} c(\omega^I) + \text{lower clifford degree terms.}$$

We recall some elementary clifford algebra trace identities.

Lemma 3.1. *If $(|I|, |J|) \neq (0, 0)$, then*

$$\operatorname{tr} c(\omega^I) \hat{c}(\omega^J) = 0.$$

Proof.

$$c(\omega^I)\hat{c}(\omega^J) = (-1)^{|I||J|}\hat{c}(\omega^J)c(\omega^I).$$

Hence cyclicity of the trace implies vanishing unless one of $|I|$ or $|J|$ is even. If $|I| > 0$,

$$c(\omega^I)\hat{c}(\omega^J) = c(\omega^{i_1})c(\omega^{I \setminus \{i_1\}})\hat{c}(\omega^J) = (-1)^{(|I|-1)|J|}c(\omega^{I \setminus \{i_1\}})\hat{c}(\omega^J)c(\omega^{i_1}).$$

Hence cyclicity of the trace again implies vanishing if $|I|$ and $|J|$ are both even and one of the two is nonempty. Hence we are left with the case where $|I|$ and $|J|$ have different parity, and therefore one is not maximal. Assume $|I|$ is not maximal; that is assume there is $m \notin I$. Then

$$c(\omega^I)\hat{c}(\omega^J) = -c(\omega^m)c(\omega^m)c(\omega^I)\hat{c}(\omega^J) = c(\omega^m)c(\omega^I)\hat{c}(\omega^J)c(\omega^m).$$

By cyclicity of the trace, this term also vanishes. The case $|J|$ not maximal is handled similarly, completing the proof. \square

We can express the Hodge star operator in terms of clifford multiplication by the volume form. In the dimensions under consideration, this gives

$$\text{Tr} * e(w)e^{-t\Delta_A} = -\text{Tr} c(d\text{vol})e(w)e^{-t\Delta_A}. \quad (3.2)$$

4 The Asymptotics

We review here the construction of an approximation to $e^{-t\Delta_A}$, and then we reduce residue computations to those for harmonic oscillators as in [BGV]. Using the Cauchy integral formula to write

$$e^{-t\Delta_A} = \frac{-1}{2\pi i} \int_{\gamma} e^{-\lambda} (t\Delta_A - \lambda)^{-1} d\lambda,$$

reduces the construction to approximating $(t\Delta_A - \lambda)^{-1}$. The standard method of approximation (see [Gil]), which we will follow here, is to construct an approximation in coordinate neighborhoods and then patch these local approximations together using partitions of unity and auxillary cutoff functions. We suppress this latter patching step in our discussion.

Fix $y \in M$ and geodesic coordinates centered at y . Fix a frame for E in a neighborhood of y in which we can write $d_A = d + A$ with $A(y) = 0$ and $A_{i,j}(y) = -\frac{1}{2}F_{ij}(y)$.

Define

$$P_{\lambda,N}f(x) = \int e^{2\pi i(x-y) \cdot u} \sum_{j=0}^N (4\pi^2 t|u|^2 - \lambda)^{-j-1} a_j(x,y) f(y) dy du,$$

with $a_0 = Id$ in our choice of local frames. The remaining a_j are chosen inductively with

$$(4\pi^2 t|u|^2 - \lambda)^{-j} a_j(x,y) = -(t\Delta_{A,x} - 4\pi i t u^k \nabla_k) (4\pi^2 t|u|^2 - \lambda)^{-j} a_{j-1}(x,y),$$

for $1 \leq j \leq N$. This gives the recipe

$$(4\pi^2 t|u|^2 - \lambda)^{-j-1} a_j(x,y) = (-t)^j (4\pi^2 t|u|^2 - \lambda)^{-1} [(D_x^2 - 4\pi i u^k \nabla_k) (4\pi^2 t|u|^2 - \lambda)^{-1}]^j a_0(x,y).$$

With this choice (and continuing to suppress cutoffs and partitions of unity),

$$(t\Delta_A - \lambda)P_{\lambda,N}f(x) = \int \int (t\Delta_{A,x} - \lambda) e^{2\pi i(x-y) \cdot u} \sum_{j=0}^N (4\pi^2 t|u|^2 - \lambda)^{-j-1} a_j(x,y) f(y) dy du$$

$$= \int \int e^{2\pi i(x-y) \cdot u} (t\Delta_{A,x} - 4\pi i t u^k \nabla_k + 4\pi^2 |u|^2 - \lambda) \sum_{j=0}^N (4\pi^2 t |u|^2 - \lambda)^{-j-1} a_j(x, y) f(y) dy du$$

$$= f(x) + \int \int e^{2\pi i(x-y) \cdot u} [(t\Delta_{A,x} - 4\pi i t u^k \nabla_k)(4\pi^2 t |u|^2 - \lambda)^{-N-1} a_N(x, y)] f(y) dy du,$$

Inserting this back into our expression for $e^{-t\Delta_A}$ gives, for a suitable curve γ in \mathbb{C} surrounding the real axis, the approximate heat kernel

$$p_t^N(x, y) = \int_{\gamma} \frac{e^{-\lambda}}{2\pi i} \int e^{2\pi i(x-y) \cdot u} \sum_{j=0}^N (-t)^j (4\pi^2 t |u|^2 - \lambda)^{-1} [(\Delta_{A,x} - 4\pi i u^k \nabla_k)(4\pi^2 t |u|^2 - \lambda)^{-1}]^j a_0(x, y) du d\lambda.$$

The error term $p_t - p_t^N$ has trace class norm which is decreasing faster than $O(t^{N/4})$, (not sharp) for N large and $t \rightarrow 0$. Expand

$$[(\Delta_{A,x} - 4\pi i u^k \nabla_k)(4\pi^2 t |u|^2 - \lambda)^{-1}]^j a_0 = \sum_{l, J, p} (4\pi^2 t |u|^2 - \lambda)^{-l} u^J t^p a_{j, l, J, p}(x, y).$$

Inserting this into our expression for $p_t^N(x, y)$, changing the order of integration, and performing the contour integral gives

$$p_t^N(x, y) = \int e^{-4\pi^2 t |u|^2} e^{2\pi i(x-y) \cdot u} \sum_{j=0}^N (-t)^j \sum_{l, J, p} u^J t^p a_{j, l, J, p}(x, y) du.$$

Evaluating at $x = y$ gives

$$p_t^N(x, x) = \int e^{-4\pi^2 t |u|^2} \sum_{j=0}^N (-1)^j \sum_{l, J, p} u^J t^{j+p-n/2-|J|/2} a_{j, l, J, p}(x, x) du. \quad (4.1)$$

Inserting this expansion into equation (2.2), we see that $\zeta_{\delta}(s)$ admits an analytic extension to $\operatorname{Re}(s) > \frac{\deg(\psi)}{2}$

$$\text{if } \int_M \operatorname{tr} c(d\operatorname{vol}) e(\psi) a_{j, l, J, p}(x, x) dV = 0 \text{ for } j + p - n/2 - |J|/2 < -\frac{\deg(\psi)}{2}. \quad (4.2)$$

The endomorphism $c(d\operatorname{vol})e(\psi)$ satisfies

$$\deg_c^L c(d\operatorname{vol})e(\psi) = n - \deg \psi.$$

Hence $\operatorname{tr} c(d\operatorname{vol})e(\psi) a_{j, l, J, p}(x, x) = 0$, unless $\deg_c^U a_{j, l, J, p}(x, x) \geq n - \deg \psi$.

So, our theorems now reduce to standard counting of Clifford degree in the construction of the $a_{j, l, J, p}(x, x)$. We recall how this is done. In our choice of frame, we can write in a neighborhood of the origin y , of our coordinate neighborhood,

$$\nabla_i = \frac{\partial}{\partial x^i} - \frac{1}{2}(x^j - y^j)(R_{ij} + F_{ij}) + O(|x - y|^2).$$

Since we will be evaluating at $y = x$, we pass to coordinates with $y = 0$. Then we see that ∇ has upper Clifford degree 2 since $R_{ij}(0) = R_{ijkl}(0)e(dx^l)e^*(dx^k)$ has upper Clifford degree 2. On the other hand, we will be evaluating at $x = y$; so, these connection terms can only contribute when they are differentiated. This suggests the following extension of our notion of Clifford degree. We say that a differential operator

$$\sum_J b_J(x) \frac{\partial^{|J|}}{\partial x^J}$$

has total degree (at 0)

$$\deg_T \sum_J b_J(x) \frac{\partial^{|J|}}{\partial x^J} := \max\{|J| - |I| + \deg_c^U \frac{\partial b_J}{\partial x^I}(0) : \frac{\partial b_J}{\partial x^I}(0) \neq 0\}.$$

We see that $\deg_T \nabla_i = 1$, and from Bochner's formula we obtain $\deg_T \Delta_A = 2$. Total degree satisfies for endomorphism valued differential operators A and B ,

$$\deg_T(AB) \leq \deg_T A + \deg_T B,$$

and

$$\deg_c^U(Aa_0)(x, x) \leq \deg_T A.$$

Consequently,

$$\deg_c^U a_{j,l,J,p}(x, x) \leq 2j,$$

and

$$\text{tr } c(d\text{vol})e(\psi)a_{j,l,J,p}(x, x) = 0, \text{ unless } j \geq \frac{n - \deg \psi}{2}. \quad (4.3)$$

Next observe that two operations contribute to the coefficient u^J of $a_{j,l,J,p}$. One is the $-4\pi i u^k \nabla_k$ term in the construction of a . The other is differentiating $(4\pi^2 t |u|(x)^2 - \lambda)^{-1}$. Note $|u|^2(x) = g^{ij}(x)u_i u_j$. Hence $\frac{\partial}{\partial x^k}(4\pi^2 t |u|(x)^2 - \lambda)^{-1} = -4\pi^2 t \frac{\partial g^{ij}}{\partial x^k} u_i u_j (4\pi^2 t |u|(x)^2 - \lambda)^{-2}$ has total degree ≤ -1 since $\frac{\partial g^{ij}}{\partial x^k}(0) = 0$. Therefore we see that

$$\deg_c^U a_{j,l,J,p}(x, x) \leq 2j - |J|,$$

as increasing the power of u requires a corresponding decrease in the total degree. This refines (4.3) to

$$\text{tr } c(d\text{vol})e(\psi)a_{j,l,J,p}(x, x) = 0, \text{ unless } j \geq \frac{n + |J| - \deg \psi}{2}. \quad (4.4)$$

Proposition 4.5. $\tilde{\zeta}_\delta(s)$ admits an analytic extension to $\text{Re}(s) > \frac{\deg(\psi)}{2}$, and $\zeta_\delta(s)$ admits an analytic extension to $\text{Re}(s) > \frac{\deg(\phi)}{2}$.

Proof. Equation (4.4) implies the criterion of (4.2) is satisfied. Replacing ψ with ϕ gives the result for $\zeta_\delta(s)$. \square

We are left to compute the residue at $s = \frac{\deg \psi}{2}$. This is determined by the coefficient of $t^{-\frac{\deg \psi}{2}}$ in $p_t^N(x, x)$. From (4.1), we see this coefficient is determined by

$$\int e^{-4\pi^2 |u|^2} \sum_{j=0}^N (-1)^j \sum_{l,J,p}' u^J \text{tr } c(d\text{vol})e(\psi)a_{j,l,J,p}(x, x) du,$$

where $\sum_{l,J,p}'$ denotes the sum restricted to the set where $n/2 + |J|/2 - j - p = \frac{\deg \psi}{2}$. The simultaneous solution of the condition $n/2 + |J|/2 - j - p = \frac{\deg \psi}{2}$ and the condition on j in (4.4) needed for nonvanishing trace requires $p = 0$. Moreover, for nonvanishing trace in the borderline case when equality is achieved in (4.4), each term must be maximal total weight. This allows us, in the construction of p_t^N to replace $|u|^2(x)$ by $|u|^2(0)$ without affecting the residue and Δ_A by

$$L_A := -(\frac{\partial}{\partial x^i} - \frac{x^j}{2} R_{ij}(0))^2 - e(dx^i) e^*(dx^j)(R_{ij}(0) + F_{ij}(0))$$

$$= -\frac{\partial^2}{(\partial x^i)^2} + R_{ij}(0)x^j \frac{\partial}{\partial x^i} - \sum_{j,k,i} \frac{x^j x^k}{4} R_{ij}(0)R_{ik}(0) - e(dx^i)e^*(dx^j)(R_{ij}(0) + F_{ij}(0)).$$

Moreover, the Clifford algebra commutator $[c(f_1), c(f_2)]$, of two 2-forms f_1 and f_2 is again given by Clifford multiplication by a two form (possibly zero). Hence this commutator (unlike the commutator between differential operators and polynomials) always reduces the total degree. Hence, in computing the residue we may discard all these Clifford commutator terms. This is the same as replacing Clifford multiplication by exterior multiplication in L_A . Thus discarding all terms in L_A of total weight less than 2, we are left to compute the heat kernel for

$$\begin{aligned} \hat{L}_A &:= -\frac{\partial^2}{(\partial x^i)^2} + R_{ij}(0)x^j \frac{\partial}{\partial x^i} - \sum_{j,k,i} \frac{x^j x^k}{4} R_{ij}(0)R_{ik}(0) \\ &\quad - \frac{1}{4} dx^i \wedge dx^j R_{ijkl}(0) \hat{c}(dx^l) \hat{c}(dx^k) - \frac{1}{4} dx^i \wedge dx^j F_{ij}(0). \end{aligned}$$

We may now follow [BGV] and use Mehler's formula for the heat kernel of \hat{L}_A .

Let Q denote the (even parity) differential form valued symmetric matrix

$$Q_{jk} := -\sum_i \frac{1}{4} \hat{R}_{ij}(0) \hat{R}_{ik}(0),$$

where $\hat{R}_{ij}(0)$ denotes the two form $\frac{1}{4} R_{ijkl} dx^k \wedge dx^l$ obtained by taking the component of R_{ij} of clifford degree 2 and then replacing clifford by exterior multiplication.

Mehler's formula gives

$$\begin{aligned} &e^{-t(\Delta + Q_{jk} x^j x^k)}(x, y) \\ &= \det\left(\frac{Q^{1/2}}{2\pi \sinh(2tQ^{1/2})}\right)^{1/2} \exp\left[-\left(\frac{Q^{1/2}}{2 \tanh(2tQ^{1/2})}\right)_{ij} (x^i x^j + y^i y^j) - \left(\frac{Q^{1/2}}{\sinh(2tQ^{1/2})}\right)_{ij} x^i y^j\right]. \end{aligned}$$

The right hand side is an even analytic function of $Q^{1/2}$ and hence may be defined without actually defining a square root of Q . Understanding $R_{ij}(0)x^j \frac{\partial}{\partial x^i}$ as an infinitesimal rotation and substituting into the preceding then gives

$$\begin{aligned} e^{-t\hat{L}_A}(x, y) &= e^{-\frac{1}{2} R_{ij}(0)x^i y^j} \det\left(\frac{Q^{1/2}}{2\pi \sinh(2tQ^{1/2})}\right)^{1/2} \exp\left[-\left(\frac{Q^{1/2}}{2 \tanh(2tQ^{1/2})}\right)_{ij} (x-y)^i (x-y)^j\right] \\ &\quad \times \exp\left[\frac{t}{4} (dx^i \wedge dx^j R_{ijkl}(0) \hat{c}(dx^l) \hat{c}(dx^k) + 2F(0))\right]. \end{aligned}$$

In particular,

$$e^{-t\hat{L}_A}(0, 0) = \det\left(\frac{Q^{1/2}}{2\pi \sinh(2tQ^{1/2})}\right)^{1/2} \exp\left[\frac{t}{4} (dx^i \wedge dx^j R_{ijkl}(0) \hat{c}(dx^l) \hat{c}(dx^k) + 2F(0))\right].$$

Hence

$$\text{tr} *e(\psi) p_t(x, x) d\text{vol} = (\psi \wedge \det\left(\frac{Q^{1/2}}{2\pi \sinh(2tQ^{1/2})}\right)^{1/2} \exp\left[\frac{t}{4} (dx^i \wedge dx^j R_{ijkl}(0) \hat{c}(dx^l) \hat{c}(dx^k) + 2F(0))\right])_n,$$

where $(f)_n$ denotes the component of f of degree n .

Following [BGV] chapter 4, we write this in our situation (with $n = 7$ or 8 and $\deg \psi \geq 3$) as

$$\text{tr} *e(\psi) p_t(x, x) d\text{vol} = (\pi t)^{-\deg(\psi)/2} \psi \wedge \left(\frac{1}{3} p_1(TM) + c_1^2(E) - c_2(E)\right) + O(t^{(1-\deg(\psi))/2}). \quad (4.6)$$

Consequently we have the following proposition.

Proposition 4.7.

$$b_{n-\deg(\psi)} = \pi^{-\deg(\psi)/2} \int_M \psi \wedge \left(\frac{1}{3} p_1(TM) + c_1^2(E) - c_2(E) \right). \quad (4.8)$$

This completes our proof of Theorems 1.1, 1.3, and 1.5.

References

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